SELECTED FCM FINANCIAL DATA AS OF June 30, 2011 FROM REPORTS FILED BY August 01, 2011

	Reg As DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
Futures Commission Merchant / Retail Foreign Exchange		Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
Dealer						4d(a)(2)	Pt. 30 Required	Obligation
	(a) (b)			(c)	(d)	(e)	(f)	(g)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD CBOT	06/30/2011	221,546,182	112.864.555	108,681,627	2,006,194,961	307,558,844	0
2 ADM INVESTOR SERVICES INC	FCM CBOT	06/30/2011	259.660.369	137,408,861	122,251,508	2,917,691,104	74.349.861	0
3 ADVANCED MARKETS LLC	RFED NFA	06/30/2011	20,149,848	20,000,000	149,848	0	0	2,601,887
4 ADVANTAGE FUTURES LLC	FCM CME	06/30/2011	17,135,344	10,683,498	6,451,846	427,577,322	37,832,975	0
5 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC	FCM BD NFA	06/30/2011	84,773,980	4,227,112	80,546,868	0	0	0
6 ALPARI (US) LLC	RFED NFA	06/30/2011	25,489,155	20,025,084	5,464,071	31,276	0	10,501,677
7 AMERIPRISE FINANCIAL SERVICES INC	FCM BD NFA	06/30/2011	157,605,302	1,000,000	156,605,302	0	0	0
8 AMP GLOBAL CLEARING LLC	FCM NFA	06/30/2011	1,461,689	1,000,000	461,689	28,398,073	320,748	0
9 BARCLAYS CAPITAL INC	FCM BD NYME	06/30/2011	5,483,438,881	736,699,222	4,746,739,659	5,298,882,437	2,481,563,792	0
10 BGC FINANCIAL LP	FCM BD NFA	06/30/2011	74,064,571	1,353,585	72,710,986	0	0	0
11 BNP PARIBAS COMMODITY FUTURES INC	FCM NYME	06/30/2011	368,441,434	232,206,597	136,234,837	1,445,360,888	566,139,619	0
12 BNP PARIBAS SECURITIES CORP	FCM BD CBOT	06/30/2011	1,696,706,940	62,727,045	1,633,979,895	405,548,799	0	0
13 BNY MELLON CLEARING LLC	FCM CME	06/30/2011	126,823,031	3,914,005	122,909,026	36,368,484	0	0
14 CANTOR FITZGERALD & CO	FCM BD CBOT	06/30/2011	280,792,074	8,508,655	272,283,419	1,115,721	0	0
15 CAPITAL MARKET SERVICES LLC	RFED NFA	06/30/2011	3,088,686	1,000,000	2,088,686	0	0	0
16 CIBC WORLD MARKETS CORP	FCM BD CME	06/30/2011	432,676,593	1,500,000	431,176,593	0	0	0
17 CITADEL SECURITIES LLC	FCM BD CME	06/30/2011	403,115,827	1,000,000	402,115,827	0	0	0
18 CITIGROUP GLOBAL MARKETS INC	FCM BD CBOT	06/30/2011	6,998,373,127	688,003,435	6,310,369,692	10,314,769,599	1,557,410,469	0
19 COMMONWEALTH FOREIGN EXCHANGE INC	FCM NFA	06/30/2011	1,905,103	1,000,000	905,103	0	0	0
20 COMPASS PROFESSIONAL SERVICES LLC	FCM BD NFA	06/30/2011	3,956,982	1,000,000	2,956,982	0	0	0
21 COUNTRY HEDGING INC	FCM NFA	06/30/2011	25,889,032	18,387,064	7,501,968	319,371,041	392,986	0
22 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD CBOT	06/30/2011	9,021,589,751	1,039,958,131	7,981,631,620	4,836,626,966	1,371,785,809	0
23 CROSSLAND LLC	FCM CBOT	06/30/2011	11,689,308	3,752,939	7,936,369	70,592,757	35,462,033	0
24 CUNNINGHAM COMMODITIES LLC	FCM CBOT	06/30/2011	3,258,434	1,000,000	2,258,434	53,249,549	161,413	0
25 CX CAPITAL MARKETS LLC	FCM NFA	06/30/2011	2,774,656	1,000,000	1,774,656	26,631,224	0	0
26 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD CME	06/30/2011	301,951,087	1,000,000	300,951,087	4,350,118	40,979	0
27 DEUTSCHE BANK SECURITIES INC	FCM BD CBOT	06/30/2011	7,137,338,665	573,709,415	6,563,629,250	15,360,607,847	1,374,998,037	0
28 DORMAN TRADING LLC	FCM CME	06/30/2011	10,183,106	1,000,000	9,183,106	97,703,713	1,861,904	0
29 EAGLE MARKET MAKERS INC	FCM CBOT	06/30/2011	7,259,875	1,000,000	6,259,875	1,474,604	0	0
30 EASY FOREX US LTD	FCM NFA	06/30/2011	1,815,181	1,000,000	815,181	0	0	0
31 ENSKILDA FUTURES LTD	FCM CME	06/30/2011	29,358,000	14,969,612	14,388,388	253,610,054	0	0
32 FCSTONE LLC	FCM CME	06/30/2011	112,361,516	61,752,873	50,608,643	1,441,567,018	16,961,546	4,710,830
33 FOREX CAPITAL MARKETS LLC	RFED NFA	06/30/2011	66,427,589	26,382,392	40,045,197	0	0	137,647,834
34 FOREX CLUB LLC	RFED NFA	06/30/2011	20,238,568	20,000,000	238,568	0	0	3,120,223
35 FRIEDBERG MERCANTILE GROUP INC	FCM NFA	06/30/2011	3,396,290	1,000,000	2,396,290	9,511,216	444,868	0
36 FRONTIER FUTURES INC	FCM NFA	06/30/2011	1,887,033	1,008,872	878,161	38,992,160	0	0
37 FUTURES TECH LLC	FCM NFA	06/30/2011	1,567,792	1,000,000	567,792	0	0	0
38 FX SOLUTIONS LLC	RFED NFA	06/30/2011	38,000,464	20,383,295	17,617,169	0	0	17,665,895
39 FXDIRECTDEALER LLC	RFED NFA	06/30/2011	25,085,531	21,332,970	3,752,561	0	0	36,659,417
40 GAIN CAPITAL GROUP LLC	RFED NFA	06/30/2011	49,774,460	24,908,950	24,865,510	0	0	108,178,991
41 GLOBAL FUTURES & FOREX LTD	RFED NFA	06/30/2011	75,930,726	24,190,601	51,740,125	259,265	220,801	93,812,011
42 GOLDMAN SACHS & CO	FCM BD CBOT	06/30/2011	11,510,586,979	1,901,436,566	9,609,150,413	19,645,003,755	8,021,313,641	0
43 GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD CME	06/30/2011	1,680,040,021	126,898,751	1,553,141,270	988,909,287	21,631,579	0

170,293,549

0

0

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1,300,797

SELECTED FCM FINANCIAL DATA AS OF June 30, 2011 FROM REPORTS FILED BY August 01, 2011

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Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
44 HSBC SECURITIES USA INC	FCM BD	CME	06/30/2011	1,097,609,013	97,346,869	1,000,262,144	1,171,750,388	86,427,778	
45 ICAP CORPORATES LLC	FCM BD	NFA	06/30/2011	48,667,841	1,000,000	47,667,841	0	0	
46 ICAP FUTURES LLC	FCM	NFA	06/30/2011	6,399,763	1,000,000	5,399,763	0	0	
47 IKON GLOBAL MARKETS INC	FCM	NFA	06/30/2011	14,546,745	1,000,000	13,546,745	17,059,029	108,434	
48 INSTINET LLC	FCM BD	NFA	06/30/2011	122,490,973	1,993,017	120,497,956	0	0	
49 INSTITUTIONAL LIQUIDITY LLC	FCM	NFA	06/30/2011	21,042,633	20,000,000	1,042,633	0	0	44,94
50 INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	06/30/2011	1,600,684	1,000,000	600,684	0	0	
51 INTERACTIVE BROKERS LLC	FCM BD	NFA	06/30/2011	1,256,666,519	204,566,341	1,052,100,178	768,436,035	273,667,598	
52 INTERBANK FX LLC	RFED	NFA	06/30/2011	25,226,270	20,987,111	4,239,159	0	0	29,742,21
53 IRONBEAM INC	FCM	NFA	06/30/2011	3,005,881	1,000,000	2,005,881	45,178,944	236,888	
54 ITG DERIVATIVES LLC	FCM BD	NFA	06/30/2011	2,511,600	1,000,000	1,511,600	0	0	
55 JEFFERIES & COMPANY INC	FCM BD	NFA	06/30/2011	751,470,264	74,550,968	676,919,296	0	0	
56 JP MORGAN CLEARING CORP	FCM BD	CME	06/30/2011	6,974,004,299	1,936,023,399	5,037,980,900	430,394,214	415,467,820	
57 JP MORGAN SECURITIES LLC	FCM BD	NFA	06/30/2011	11,270,002,435	1,466,879,370	9,803,123,065	13,821,203,399	2,234,830,772	
58 LEK SECURITIES CORPORATION	FCM BD	NFA	06/30/2011	8,983,644	1,500,000	7,483,644	1,304,603	0	
59 LIMESTONE TRADING LLC	FCM	NFA	06/30/2011	6,626,033	1,000,000	5,626,033	0	0	
60 LINN GROUP THE	FCM	NFA	06/30/2011	2,453,189	1,000,000	1,453,189	38,970,538	106,961	
61 LPL FINANCIAL LLC	FCM BD	NFA	06/30/2011	92,999,634	6,412,410	86,587,224	0	0	
62 MACQUARIE FUTURES USA INC	FCM	СВОТ	06/30/2011	129,677,283	61,893,341	67,783,942	848,326,619	54,129,863	
63 MAREX NORTH AMERICA LLC	FCM	CME	06/30/2011	9,697,019	4,298,376	5,398,643	73,821,829	1,781,851	
64 MAREX USA LIMITED	FCM	NFA	06/30/2011	2,831,024	1,000,000	1,831,024	0	0	
65 MB TRADING FUTURES INC	RFED	NFA	06/30/2011	26,445,119	21,180,544	5,264,575	0	0	33,610,87
66 MBF CLEARING CORP	FCM	NYME	06/30/2011	8,795,516	1,000,000	7,795,516	70,609,167	4,577,434	
67 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	06/30/2011	11,190,799	1,503,559	9,687,240	838,510,617	0	
68 MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	СВОТ	06/30/2011	10,123,449,134	807,099,494	9,316,349,640	9,953,582,274	2,676,615,509	
69 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	06/30/2011	1,923,515,725	252,371,579	1,671,144,146	2,030,066,931	54,658,459	
70 MF GLOBAL INC	FCM BD	CME	06/30/2011	570,931,049	399,976,291	170,954,758	8,095,636,170	827,843,520	
71 MID CO COMMODITIES INC	FCM	NFA	06/30/2011	13,267,381	6,643,251	6,624,130	92,868,191	0	
72 MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	06/30/2011	94,327,697	1,293,317	93,034,380	0	0	
73 MITSUI BUSSAN COMMODITIES USA INC	FCM	NFA	06/30/2011	3,060,035	1,000,000	2,060,035	0	0	
74 MIZUHO SECURITIES USA INC	FCM BD	CME	06/30/2011	363,809,463	79,427,018	284,382,445	688,396,543	520,153,870	
75 MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	06/30/2011	454,585,848	8,816,913	445,768,935	0	0	
76 MORGAN STANLEY & CO LLC	FCM BD	CME	06/30/2011	6,473,994,874	1,127,083,638	5,346,911,236	4,546,440,441	1,902,692,858	
77 MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	06/30/2011	1,213,151,246	38,243,580	1,174,907,666	562,428,141	1,936,648,448	
78 NATIXIS SECURITIES NORTH AMERICA INC	FCM BD	NFA	06/30/2011	203,841,296	1,000,000	202,841,296	0	0	
79 NEUBERGER BERMAN LLC	FCM BD	NFA	06/30/2011	75,814,912	1,500,000	74,314,912	0	0	
80 NEWEDGE USA LLC	FCM BD	NYME	06/30/2011	2,189,710,575	1,422,444,044	767,266,531	21,605,917,392	6,483,053,785	
81 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	СВОТ	06/30/2011	1,801,527,851	22,903,007	1,778,624,844	82,173,959	860,953	
									

164,017,433

162,876,554

3,202,566

28,014,677

1,103,146

24,637,640

136,002,756

138,238,914

2,099,420

85,536,664

22,555,835

RFED

FCM

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06/30/2011

82 OANDA CORPORATION

84 OPPENHEIMER & CO INC

83 OPEN E CRY LLC

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	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
85 OPTIONSXPRESS INC	FCM BD	NFA	06/30/2011	84,325,013	20,000,000	64,325,013	127,058,782	2,540,097	0
86 PENSON FUTURES	FCM	CBOT	06/30/2011	31,731,160	24,556,112	7,175,048	767,742,651	50,681,184	0
87 PEREGRINE FINANCIAL GROUP INC	FCM	NFA	06/30/2011	36,781,427	21,376,885	15,404,542	447,596,712	29,775,578	37,537,701
88 PHILLIP FUTURES INC	FCM	CME	06/30/2011	10,818,135	1,000,000	9,818,135	952,784	20,1.0,0.0	0.,00.,.01
89 PIONEER FUTURES INC	FCM	NFA	06/30/2011	2,949,623	1,000,000	1,949,623	0	0	0
90 PRUDENTIAL BACHE COMMODITIES LLC	FCM	СВОТ	06/30/2011	237,216,000	176,739,200	60,476,800	2,757,787,000	284,396,000	5,439,000
91 RAND FINANCIAL SERVICES INC	FCM	CME	06/30/2011	72,830,578	15,744,793	57,085,785	140,363,500	17,847,500	0
92 RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	06/30/2011	381,463,658	31,896,143	349,567,515	0	0	0
93 RBC CAPITAL MARKETS LLC	FCM BD	CME	06/30/2011	1,228,294,877	92,952,273	1,135,342,604	360,483,810	25,856,864	0
94 RBS SECURITIES INC	FCM BD	СВОТ	06/30/2011	2,324,980,000	232,311,000	2,092,669,000	1,598,803,000	81,633,000	0
95 RJ OBRIEN ASSOCIATES LLC	FCM	CME	06/30/2011	166,093,313	110,715,906	55,377,407	2,787,258,500	69,210,397	3,448,031
96 ROSENTHAL COLLINS GROUP LLC	FCM	CME	06/30/2011	69,392,855	46,631,390	22,761,465	1,550,741,098	35,737,127	0
97 ROSENTHAL GLOBAL SECURITIES LLC	FCM BD	NFA	06/30/2011	43,738,237	1,000,000	42,738,237	0	0	0
98 SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	06/30/2011	174,585,757	14,612,345	159,973,412	0	0	0
99 SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	06/30/2011	513,350,337	15,458,640	497,891,697	512,765,353	0	0
100 STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	06/30/2011	414,658,536	6,138,540	408,519,996	33,162,628	14,869,541	0
101 STEPHENS	FCM BD	NFA	06/30/2011	76,802,472	13,310,896	63,491,576	0	0	0
102 STERLING COMMODITIES CORP	FCM	NYME	06/30/2011	8,339,635	1,000,000	7,339,635	31,618,048	214,477	0
103 STRAITS FINANCIAL LLC	FCM	CME	06/30/2011	8,704,041	1,000,000	7,704,041	9,005,212	0	0
104 TCA FUTURES LLC	FCM	NFA	06/30/2011	1,636,255	1,000,000	636,255	0	0	0
105 TD AMERITRADE INC	FCM BD	NFA	06/30/2011	292,746,768	1,000,000	291,746,768	0	0	0
106 TENCO INC	FCM	СВОТ	06/30/2011	60,664,661	9,566,070	51,098,591	286,532,453	0	0
107 TIMBER HILL LLC	FCM BD	CME	06/30/2011	1,010,192,984	48,470,881	961,722,103	537,348,008	0	0
108 TOWER RESEARCH CAPITAL EUROPE LLC	FCM	NFA	06/30/2011	1,538,014	1,000,000	538,014	0	0	0
109 TRADELINK LLC	FCM BD	CBOT	06/30/2011	24,187,205	1,000,000	23,187,205	0	0	0
110 TRADESTATION FOREX INC.	RFED	NFA	06/30/2011	24,419,445	21,193,411	3,226,034	0	0	33,868,215
111 TRADESTATION SECURITIES INC	FCM BD	NFA	06/30/2011	56,363,962	2,743,271	53,620,691	429,700,488	7,369,645	0
112 TRADITION SECURITIES AND FUTURES INC	FCM	NFA	06/30/2011	1,542,077	1,000,000	542,077	0	0	0
113 TRILAND USA INC	FCM	NYME	06/30/2011	9,460,505	1,000,000	8,460,505	0	0	0
114 UBS FINANCIAL SERVICES INC	FCM BD	NFA	06/30/2011	1,362,149,896	115,075,802	1,247,074,094	174,241,882	543,004	0
115 UBS SECURITIES LLC	FCM BD	CBOT	06/30/2011	11,265,545,815	919,909,076	10,345,636,739	8,846,773,031	4,002,158,509	0
116 VELOCITY FUTURES LLC	FCM	NFA	06/30/2011	1,768,365	1,000,000	768,365	64,334,635	17,596,496	0
117 VISION FINANCIAL MARKETS LLC	FCM BD	CME	06/30/2011	24,955,173	11,134,711	13,820,462	421,492,725	7,397,033	0
118 WALL STREET DERIVATIVES INC	FCM	NFA	06/30/2011	1,508,938	1,000,000	508,938	0	0	0
119 WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	06/30/2011	48,806,183	2,813,803	45,992,380	0	0	0
120 WELLS FARGO ADVISORS LLC	FCM BD	NFA	06/30/2011	771,745,322	1,500,000	770,245,322	0	0	0
121 WELLS FARGO SECURITIES LLC	FCM BD	CME	06/30/2011	1,587,635,352	10,324,150	1,577,311,202	0	0	0
122 WHITE COMMERCIAL CORPORATION	FCM	NFA	06/30/2011	2,520,673	1,000,000	1,520,673	0	0	0
123 YORK BUSINESS ASSOCIATES LLC	FCM	NFA	06/30/2011	2,465,175	1,000,000	1,465,175	74,230,727	2,037,909	0
Totals			****				153,881,560,188	37,995,479,863	728,883,28
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SELECTED FCM FINANCIAL DATA AS OF June 30, 2011

FROM REPORTS FILED BY

August 01, 2011

	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
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Dealer							4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
May Web Page Update	125	-							***************************************
may web Fage opuate	123								
Additions	0								
None									
Deletions	2								
ELECTRONIC BROKERAGE SYSTEMS LLC									
JP MORGAN FUTURES INC									
Name Change	<u> </u>		***************************************						
Name Change MORGAN STANLEY & CO INCORPORATED to									
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MORGAN STANLEY & CO LLC			****						
June Web Page Update	123								
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*Allianz Global Investors Distributors LLC files financial stateme	nts quarter	ly.			[•		1	
(a): FCM: Futures Commission Merchant that is registered wi	th the Com	modity Fu	tures Trading (Commission:	<u> </u>			1	
BD: The FCM is also registered with the Securities and									
RFED: Retail Foreign Exchange Dealer that is registered v	_								
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(b): DSRO: Designated Self-Regulatory Organization.									
(c): A firm's net capital requirement is the greater of:									
FCM minimum dollar amount (\$1,000,000); or			***						
risk based capital requirement, the sum of 8% of total cut	tomar rick	maintenar	nce margin and	1 8% of total non-custo	mer rick maintenance	margin: or			
the amount of capital required by a registered futures ass			_		Jiller HSK Halliteriance	margin, or			
for securities brokers and dealers, the amount of net cap					ongo Commission: or				
minimum dollar amount for FCM's offering or engaged in	-				arige Corninission, or				
minimum dollar amount for FCM's offering or engaged in minimum dollar amount for FCM's offering or engaged in					ive percent of the ECN	l'o or DEED's total rot	oil forcy obligation in a	veces of \$10,000,000	
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(d): Excess net capital is adjusted net capital, less the firm's ne	capital red	uirement.		İ	i	<u> </u>	1	1	
(e): This represents the total amount of funds that an FCM is re	quired to se	egregate o	n behalf of cus	tomers who are tradin	g on a designated con	tract market or deriva	tives transaction execu	tion facility. This is the	sum of all accour
that contain a net liquidating equity.				T			,	-	
(n) This section 1 (1) The FOLLY				P4					
(f): This represents the amount of funds an FCM is required to	set aside fo	r custome	rs who trade or	n commodity exchange	es located outside of th	ne United States.	1	1	
(a). This assumes the total assume of the tota	D 45 - 1 - 1	dalla cata			tion and any or of of	aitad ba (-1) (-			ta adicated to d
(g): This represents the total amount of funds at an FCM or RFI realized and unrealized net profit or loss.	נום that wo	ud be obta	ained by combi	ning all money, securi	ties and property depo	osited by a retail forex	customer into a retail f	orex account or accour	its, adjusted for th
realized and unrealized het profit of 1055.	7	· · · · · · · · · · · · · · · · · · ·			T	*	7		
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